Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

For the month ended: 31 October 2023   Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer: Harbin Electric Company Limited

Date Submitted: 03 November 2023

I. Movements in Authorised / Registered Share Capital

1. Class of shares	Ordinary shares	Type of shares	H	Listed on SEHK (Note 1)	Yes	
Stock code	01133	Description				

	Number of authorised/registered shares		Par value		Authorised/registered share capital	
Balance at close of preceding month	675,571,000	RMB	1	RMB	675,571,000	
Increase / decrease (-)				RMB		
Balance at close of the month	675,571,000	RMB	1	RMB	675,571,000	

2. Class of shares	Ordinary shares	Type of shares	Other type (specify in description)	Listed on SEHK (Note 1)	No	
Stock code	N/A	Description	State Owned Equity Interest Shares			

	Number of authorised/registered shares		Par value		Authorised/registered share capital	
Balance at close of preceding month	1,030,952,000	RMB	1	RMB	1,030,952,000	
Increase / decrease (-)	529,753,000			RMB	529,753,000	
Balance at close of the month	1,560,705,000	RMB	1	RMB	1,560,705,000	

Total authorised/registered share capital at the end of the month: RMB   2,236,276,000

II. Movements in Issued Shares

1. Class of shares	Ordinary shares	Type of shares	H	Listed on SEHK (Note 1)	Yes	
Stock code	01133	Description				

Balance at close of preceding month		675,571,000
Increase / decrease (-)		
Balance at close of the month		675,571,000

2. Class of shares	Ordinary shares	Type of shares	Other type(specify in description)	Listed on SEHK (Note 1)	No	
Stock code	N/A	Description	State Owned Equity Interest Shares			

Balance at close of preceding month		1,030,952,000
Increase / decrease (-)		529,753,000
Balance at close of the month		1,560,705,000

III. Details of Movements in Issued Shares

(A). Share Options (under Share Option Schemes of the Issuer)   Not applicable

(B). Warrants to Issue Shares of the Issuer which are to be Listed   Not applicable

(C). Convertibles (i.e. Convertible into Issue Shares of the Issuer which are to be Listed)   Not applicable

(D). Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be listed, including Options (other than Share Options Schemes)   Not applicable

(E). Other Movements in Issued Share   Not applicable

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IV. Information about Hong Kong Depositary Receipt (HDR)   Not applicable

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3.   "Identical" means in this context:

  •   the securities are of the same nominal value with the same amount called up or paid up;

  •   they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and

  •   they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.

4.   If there is insufficient space, please submit additional document.

5.   In the context of repurchase of shares:

  •   "shares issuable to be listed on SEHK" should be construed as "shares repurchased listed on SEHK"; and

  •   "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares repurchased (if listed on SEHK)"; and

  •   "class of shares issuable" should be construed as "class of shares repurchased"; and

  •   "issue and allotment date" should be construed as "cancellation date"

6.   In the context of redemption of shares:

  •   "shares issuable to be listed on SEHK" should be construed as "shares redeemed listed on SEHK"; and

  •   "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares redeemed (if listed on SEHK)"; and

  •   "class of shares issuable" should be construed as "class of shares redeemed"; and

  •   "issue and allotment date" should be construed as "redemption date"

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